



214
PATENT / JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Timothy R. Block et al. : Date: August 23, 2004
Group Art Unit: 2114 : IBM Corporation
Examiner: Gabriel L. Chu : Intellectual Property Law
Serial No.: 09/827,804 : Dept. 917, Bldg. 006-1
Filed: April 6, 2001 : 3605 Highway 52 North
Title: NODE SHUTDOWN IN CLUSTERED : Rochester, MN 55901
COMPUTER SYSTEM

INFORMATION DISCLOSURE STATEMENT AND PETITION REQUESTING
CONSIDERATION UNDER 37 C.F.R. §1.97(d)

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTOSB08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

08/27/2004 YPOLITE1 00000015 090465 09827804

01 FC:1460 130.00 DA

Docket No. ROC920000312US1
Serial No. 09/827,804

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(d) after final office action or notice of allowance, but before payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) follows below.

The petition fee of \$130.00 set forth in 37 C.F.R. §1.17(i) is to be charged to our Deposit Account No. 09-0465.

Date: August 23, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

August 23, 2004
(Date of Deposit)

Debra A. Peterson
Debra A. Peterson

Respectfully submitted,

By:

Steven W. Roth
Steven W. Roth
Attorney Reg. No.: 34,712
IBM Corporation, Dept 917
3605 Highway 52 North
Rochester, MN 55901-7829
Telephone: (507) 253-1600

CERTIFICATION

I hereby certify that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

Steven W. Roth
Steven W. Roth

August 23, 2004

Date

PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1



Application Number.: 09/827,804
 Filing Date: April 6, 2001
 First Named Inventor: Block
 Art Unit: 2114
 Examiner Name: Unassigned
 Attorney Docket Number.: ROC920000312US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 5.828.836	10-27-1998	Westwick et al.	
		US - 6.393.485 B1	05-21-2002	Chao et al.	
		US - 6.493.715 B1	12-10-2002	Funk et al.	
		US - 6.526.521 B1	02-25-2003	Lim	
		US - 6.718.486 B1	04-06-2004	Roselli et al.	
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.